

Department of English
College of Liberal Arts
Colorado State University

Department Code
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I. Mission Statement of the Department of English

The mission of the Department of English is to advance the study and teaching of literature, language, and writing. To accomplish this mission, the Department will provide the basis for a strong liberal arts education focused on critical literacy, critical thinking, and culturally informed interpretive skills. The Department is committed to maintaining comprehensive and interdisciplinary English studies curricula for undergraduate majors and graduate students. To this end, we offer the following degrees:

- Master of Fine Arts (MFA) in Creative Writing with specializations in Fiction and Poetry
- Master of Arts in English with specializations in Creative Nonfiction, Literature, and Rhetoric and Composition
- Master of Arts in English with areas of study in English Education and Teaching English as a Second or Foreign Language (TESL/TEFL)
- Bachelor of Arts in English with concentrations in Creative Writing, English education, Language, Literature, and Writing

The Department seeks to foster community within the Department and between the Department and other communities within and beyond the University. The Department encourages the continuing professional development of its faculty members, who are dedicated to excellence in teaching, advising, scholarship and creative activities, and service.

II. Officers and Standing Committees of the Department

A. Chair of the Department

1. The Chair of the Department shall be selected according to the procedures specified in the *Academic Faculty and Administrative Professional Manual* section E.4.3 and in the *College of Liberal Arts Annual Procedures Manual*.
2. The Department of English shall be administered by a Chair whose duties shall include those specified by the *Academic Faculty and Administrative Professional Manual* and this Code, in addition to other duties delegated by the Dean of the College of Liberal Arts.
3. The Chair shall be chair of the Executive Committee, shall appoint special committees to meet problems as they arise, and shall be an ex-officio member of all Departmental committees.
4. Although ultimately responsible for the administration of the Department, the Chair may delegate administrative duties to various members of the Department.

5. On matters of changes in courses, programs, policies, personnel, and objectives, the Chair shall consult with and seek the approval of the Executive Committee.
6. The Chair shall evaluate the professional work of the members of the Department (regular, instructors, staff, and GTAs). The Chair shall be responsible for merit evaluations; appointment, reappointment, and promotion recommendations; and terminations (after having been advised by committees designated in this code). For all evaluations, the Chair shall proceed according to the procedures in Section VII, below. All peer review committees will be constituted within the framework of University Manual specifications.
7. The Chair shall direct the search for, and hiring of, new faculty members in accordance with procedures described in Section IX below.
8. The Chair shall direct the search for, and hiring of, GTAs and shall organize the Graduate Committee and other appropriate Departmental committees to assist in the search.
9. The Chair shall call general Department meetings as needed but at least once each academic year for the purpose of discussing objectives and problems pertaining to the work of the Department and the welfare of its members. Faculty members who have completed at least one year of service at the University as regular, regular part time, or transitional appointees with the rank above that of instructor or equivalent may vote. Faculty with Senior Teaching Appointments shall have a vote on all matters, except with regard to personnel matters involving regular faculty members, including the Department Chair.
10. The Chair shall undergo annual evaluations according to the method prescribed in the College of Liberal Arts *Annual Procedures Manual*. The Chair Evaluation Committee shall be the Executive Committee, not including the Chair. It shall be chaired by an Assistant Department Chair.

B. Administrative Assistants and Program Directors

1. The Chair shall appoint the following administrative assistants from among the tenured members of the Department:
 - The Assistant Chair(s), who shall perform duties delegated by the Chair
 - The Director of Composition, who shall be responsible for administering the Department's rhetoric and composition program and for calling meetings as necessary to conduct business related to the Department's composition program
 - The Coordinator of Graduate Programs, who shall be responsible for administering the Department's graduate programs, for chairing the

Graduate Committee, and for calling meetings as necessary to conduct business related to the Department's graduate programs

- The Coordinator of Undergraduate Programs, who shall be responsible for administering the Department's undergraduate programs, for chairing the Undergraduate Committee, and for calling meetings as necessary to conduct business related to the Department's undergraduate programs
2. In consultation with program faculty, the Chair shall appoint the following Directors. Program Directors will be chosen from among the tenured members of the Department:
- The Director of Creative Writing
 - The Director of the Language Programs
 - The Director of English Education
 - The Director of the Literature Program
 - The Director of Creative Nonfiction
 - The Director of Rhetoric and Composition and the Writing Concentration

C. The Executive Committee

1. The voting members of the Executive Committee shall consist of:
- Department Chair
 - An Assistant Chair
 - Graduate Coordinator
 - Undergraduate Coordinator
 - Director of the University Composition Program
 - Five members elected from the tenured and tenure-track faculty. One of the elected members must be tenure track. The Assistant Chair in charge of elections in consultation with the Department Chair will ensure that the elected and the appointed faculty members together represent each of the department's undergraduate and graduate concentrations, areas of study, and specializations.
 - An elected representative of the Non-Tenure Track faculty. Non-Tenure Track faculty members who have completed one year of at least half-time service at the University are eligible for election and to vote. NTTF representatives to the Executive and Non-Tenure Track Faculty Committees are elected in a single ballot.
2. The election shall be by Hare ballot.
3. In the event that there are no faculty who meet the criteria for election or appointment to the Executive Committee, or if no individuals are willing to serve, their seats will not be filled.
4. Ordinarily, the term of office for appointed members of the Executive

Committee shall be at the pleasure of the Chair. The terms of the elected representatives shall be two years and staggered.

5. The election of regular faculty members to the Executive Committee shall be conducted no later than the second week of the fall semester.
6. Minutes shall be kept of all meetings of the Executive Committee and shall include voting results.
7. The duties of the Executive Committee shall be to advise and to deliberate with the Chair on planning and executing the curriculum; on making appointments, reappointments, and terminating contracts; on maintaining standards of instruction; on matters on which the Chair wishes to consult the Committee; and on interpreting, enforcing, and reviewing this code, and correlating it with the *Academic Faculty and Administrative Professional Manual*. In cases involving the evaluation, promotion, or tenure of the Chair, the Committee shall assume the duties of the Chair. On all matters that it initiates, the Executive Committee shall consult with all relevant Department committees.
8. Any member of the Executive Committee, upon receiving written suggestions from a member of the Department concerning any aspect of the Department's work, shall submit these suggestions to the Chair for possible inclusion on the agenda of the Executive Committee.

D. The Graduate Committee

1. The Graduate Committee shall consist of the Graduate Coordinator, who acts as chair, six regular faculty members appointed on a staggered basis for three-year terms, and one full-time graduate student appointed for a one-year term. The Chair of the Department will make appointments only after consultation with the Graduate Coordinator and will ensure that the faculty members appointed to the Committee represent the graduate programs in the Department.
2. The Graduate Committee is responsible for overseeing the Department's graduate curricula. These include but are not limited to:
 - New graduate programs
 - Revisions of existing graduate programs
 - Approval of new courses and substantive revisions of existing courses
 - Approval of proposals for variable topics courses
 - Graduate advising

The Committee also oversees the University-mandated assessment of graduate programs by articulating the achievement goals that our students should attain,

setting up appropriate assessment methods, gathering and synthesizing the evidence produced by these methods, and reporting the results to the University.

E. The Undergraduate Committee

1. The Undergraduate Committee shall consist of the Undergraduate Coordinator, who acts as chair, six regular faculty appointed on a staggered basis for three-year terms, one NTTF member appointed for a three-year term, and a full-time undergraduate English major appointed for a one-year term. The Chair of the Department will make appointments only after consultation with the Undergraduate Coordinator and will ensure that the faculty members appointed to the Committee represent each of the five areas of concentration open to English majors.
2. The Undergraduate Committee is responsible for overseeing all facets of the Department's undergraduate curricula. These include, but are not limited to:
 - New concentrations or revisions of existing concentrations
 - New courses or substantive revisions of existing courses
 - Revisions of the requirements for the major and the minor
 - Integration of the University and/or College of Liberal Arts general education requirements into the concentrations
 - Topics for umbrella courses
 - Advanced placement policies
 - Advising issues

The Committee also oversees the University-mandated assessment of major programs by articulating the achievement goals that our majors should attain, setting up appropriate assessment methods, gathering and synthesizing the evidence produced by these methods, and reporting the results to the University.

F. The Non-Tenure Track Faculty (NTTF) Committee

1. The Non-Tenure Track Faculty Committee shall consist of the NTTF representative to the Executive Committee; the English Department representative to the College of Liberal Arts Adjunct Committee, when there is one; and three other members of the Non-Tenure Track faculty. NTTF members who have completed one year of at least half-time service at the University are eligible for election and to vote. The NTTF representative to the College of Liberal Arts Adjunct Committee is elected from the at-large CLA election ballot. The representatives to the Executive, Non-Tenure Track Faculty, and Literature Committees are elected from a single English Department ballot, which is the responsibility of the NTTF Committee. In the event that there is not an English Department representative elected to the

CLA Adjunct Committee, the person with the fourth most votes from the English Department ballot will be elected to the NTTF Committee. The committee shall also include an ad hoc tenure-track or tenured faculty member invited by the committee to serve.

2. The terms of the NTTF members elected on the single English department ballot, including the Executive Committee representative, shall be two years and staggered. The term of the representative to the CLA Adjunct Committee shall be determined by the rules of that group.
3. The NTTF Committee is responsible for representing the interests of NTTF members and working toward their equitable treatment and professionalization in the Department, the College, and the University; to advance the mission of the Department of English; and to enhance undergraduate education. Its duties include, but are not limited to:
 - identifying issues that concern NTTF members;
 - communicating NTTF faculty concerns to Departmental, College, and University administration and governance bodies;
 - communicating Departmental, College, and University policy and procedures, and other matters of interest to NTTF members;
 - bringing matters of concern to NTTF members to the Executive Committee as needed.

G. Non-Tenure Track Faculty (NTTF) Hiring Committee

- a. The membership of this committee shall consist of an assistant department chair, who will act as chair; the Director of the Composition Program; one NTTF member and one regular faculty member, each appointed for two-year terms by the department chair, in consultation with the chair of the Non-Tenure Track Faculty Committee.
- b. The duties of this committee shall include reading and ranking applications for NTTF positions. When the NTTF member on the committee is being considered, he/she will be excused from the meeting.

H. Evaluation Committees

1. Tenure and Promotion Committees

- a. Tenure and Promotion Steering Committee
 - i. Tenured faculty (those already tenured and those whose tenure is approved for the year in which they will vote or take office) shall elect a committee of five tenured faculty members, at least three of whom must be full professors. Election shall be by Hare ballot.
 - ii. Terms of office shall be three years and staggered.
 - iii. The Tenure and Promotion Steering Committee shall choose a chair from among its members.

- iv. The Tenure and Promotion Steering Committee's duties shall be to
 - Lead the departmental process of making recommendations for tenure and promotion as outlined in Appendix A.
 - Report on the vote. If the vote is unanimous in favor of granting tenure and/or promotion or unanimous in favor of denying it, then the committee shall so report and provide documentation supporting the vote. If, however, the vote is split, the committee shall report the vote and provide a statement of reasons for both the minority and majority points of view.
 - Forward the Tenure and Promotion Committee's recommendation to the Department Chair, who shall write his or her recommendation and commentary before transmitting these materials to the Dean of the College.
 - Annually advise the Chair on the performance of tenure-track faculty members based on evidence concerning the professional performance of all tenure-track faculty except those in their first year. They will do so by submitting suggested ratings in each category and evidence in defense of those ratings, and by submitting narratives of performance of tenure-track faculty members, together with suggested ratings, at the third or midpoint year.
 - Fulfill any additional duties agreed upon by Committee members and the Department chair.
- b. Tenure and Promotion Committee
 - i. All tenured faculty constitute the Department's Tenure and Promotion Committee. All tenured faculty are eligible to vote on cases of tenure and promotion to associate professor. Only full professors are eligible to vote on promotions to full professor.
 - ii. The Tenure and Promotion Committee's duties shall be to
 - Consider and make recommendations to the Department Chair for tenure and promotion by applying the procedures in Appendix A and the department's evaluative criteria in VII.H and I. In cases involving the Chair, the Executive Committee will receive the recommendation for promotion and make its own recommendation based on the vote of the full professors of the Executive Committee.
 - All eligible faculty are expected to vote.

Although it remains the duty of the Chair, as the Code provides, to "evaluate the professional work of members of the Department," two committees will advise the Chair on the evaluation of regular faculty: the Tenure and Promotion Steering Committee and the Periodic Comprehensive Performance Review Committee.

The composition and duties of these committees are described above in section II F. The system followed by both committees must include formal evaluations of teaching/advising/mentoring, scholarship or creative activity, and service. The labels for

performance are “Superior,” “Exceeds Expectations,” “Meets Expectations,” “Below Expectations,” and “Unsatisfactory.” See VII C for Definitions of Evaluation Ratings.

The Chair will annually review the work of both committees to ensure that the standards articulated in these guidelines are applied uniformly to tenure-track and tenured faculty. The evaluative procedures and criteria and definitions of labels for performance are given below.

The Chair, members of the Tenure and Promotion Committee, and senior members of each program in the Department are responsible for encouraging tenure-track faculty members in identifying and pursuing a trajectory of inquiry, research, and creative activity leading to publication as defined in VII H 3; linking scholarship or creative activity to innovative teaching practices; and engaging with academic, intellectual, and/or creative communities both within and outside the Department.

2. Periodic Comprehensive Performance Review Committee

- a. The membership of the Periodic Comprehensive Performance Review Committee shall consist of three faculty members chosen by and from the tenured faculty. The election shall be by Hare ballot. Terms of office shall be two years and staggered.
- b. The Periodic Comprehensive Performance Review Committee’s duties shall be to:
 - Consult the evidence assembled by tenured faculty up for Phase I Comprehensive Performance Review regarding their teaching/advising/mentoring, scholarship or creative activity, and service in the past five-year period, and coordinate peer observations of classes being taught by those faculty in the fall semester of the review.
 - Compose brief narratives that correspond to the university form for Phase I of this periodic review. These narratives will constitute, in most cases, the committee’s advice to the Chair regarding Phase I. The Chair will then report on the continuing performance of faculty members under review in accordance with the requirements of the *Academic Faculty and Administrative Professional Manual*.
 - Advise the Chair on suggested ratings in teaching/advising/mentoring and service based on the faculty member’s performance in those areas for the five-year period. These suggested ratings may become benchmarks for the Chair to use in the evaluation process during the next five-year period.
 - Advise the Chair on suggested ratings in teaching/advising/mentoring and service for the annual review completed in the same year as PCPR.

- Review applications for Senior Teaching Appointments. The PCPR committee will use an established guide to record the materials from the application and to support their opinion to endorse or oppose the application.

The *Academic Faculty and Administrative Professional Manual* specifies the process for decision making.

1. The appointment committee (PCPR) will vote by ballot for or against the appointment of the faculty member being considered.
2. A recommendation for appointment shall be by majority vote of the committee and shall include a vote summary and a statement of reasons representing the majority and minority points of view.
3. The recommendations shall be forwarded successively to the department head, the dean of the college, and the Provost for review and either endorsement or opposition. The Provost's decision is final.

Committee membership and actions related to contrary recommendations will follow the procedures and processes in section E.11 of the *Manual*.

Should it be necessary to conduct a Phase II Comprehensive Performance Review for a member of the faculty, the Department Chair shall appoint a three member review committee drawn from a pool of tenured Department faculty at the same or higher rank as the faculty member under review. The pool shall be created through recommendations of the Periodic Comprehensive Performance Review Committee and the faculty member under review. The pool shall not include members of the Phase I committee. The duties of the Phase II committee shall include:

- Working with the faculty member under review to suggest strategies for addressing concerns raised by the Phase I review
- Collecting additional information concerning the teaching/advising/mentoring, scholarship or creative activity, and service of the faculty member under review
- Soliciting a narrative from the faculty member under review. The narrative should address concerns raised by the Phase I review and outline a plan for improving performance.
- Evaluating the performance of the faculty member on the basis of the materials collected

At the end of the review process, the members of the Phase II committee shall report one of the following outcomes to the Chair:

- The faculty member under review has met the reasonable expectations for faculty performance.

- The deficiencies identified by the Periodic Comprehensive Review Committee are not judged to be substantial and chronic or recurrent.
- There are substantial and chronic or recurrent deficiencies that must be remedied.
- The conditions set forth in Section E.14.3.2 Time Limit for Action by the Provost/Academic Vice President of the *Academic Faculty and Administrative Professional Manual* appear to be present.

In cases where deficiencies are found that, in the opinion of the Phase II review committee, must be remedied, the Chair and the faculty member under review will design a professional development plan indicating how these deficiencies are to be remedied and set a schedule for accomplishing each element of the plan. This plan must be approved by the Dean of the College.

In the event that conditions set forth in Section E.15.4.1 are present, the Phase II committee will recommend the initiation of procedures which may result in possible sanctions up to and including tenure revocation.

For each outcome, the Phase II committee shall provide the faculty member under review with a written summary of the review, and the faculty member shall have 30 days to prepare a written response to the summary. Both the review and the faculty member's response shall be forwarded to the Chair and, at successive steps, to the Dean and the Provost. Recommendations of the Chair and Dean will be sent concurrently to the faculty member. The Provost shall make the final decision regarding action.

3. Non-Tenure Track Faculty (NTTF) Evaluation Committee

- a. The membership of this committee shall consist of two regular faculty members, one of whom will act as co-chair, and three NTTF members, one of whom will act as co-chair. The five members will be appointed by the department chair, in consultation with the chair of the Non-Tenure Track Faculty Committee, with all members serving two-year terms, staggered to ensure continuity.
- b. The duties of the committee shall be limited to:
 - Arranging for or conducting peer observations of NTTF members;
 - Acting as the Department Chair's designee for annual evaluation of NTTF commentary on student papers. When NTTF members on this committee are being evaluated, they will be excused from the meeting.

III. Program Committees

- A. Each program in the Department may form a committee to discuss its curriculum, policies, and hiring needs and to make recommendations to Undergraduate

Committee, Graduate Committee, Executive Committee, and Chair. Non-tenure track faculty members of the program committees shall have a vote on all matters, except with regard to personnel matters involving tenure-track faculty, including the Department Chair. Typically, NTTF on program committees will serve the same term of office as TTF.

- B. Current program committees, their make-up, and their leadership consist of the following:
1. Creative Writing
 - The Creative Writing Committee consists of all tenure-track members of the Creative Writing faculty, any NTTF members deemed essential to the functions of the Program, and two student representatives from the MFA Program, one in fiction and one in poetry.
 - The Director of Creative Writing will be selected according to a rotation among tenured members of the Creative Writing faculty and serves a two-year term at the discretion of the Department Chair. Sabbaticals, leaves, and other commitments may affect the order of rotation or length of term, at which time an acting chair may be approved by the Department Chair to complete the term, or the order of rotation may change.
 2. Rhetoric and Composition
 - The Rhetoric and Composition Committee consists of all regular members of the Rhetoric and Composition faculty and any NTTF members deemed essential to the functions of the Program.
 - The Director of Composition will be selected according to a rotation among tenured members of the Rhetoric and Composition faculty and normally serves a two-year term at the discretion of the Department Chair. Sabbaticals, leaves, and other commitments may affect the order of rotation or length of term, at which time an acting director may be approved by the Department Chair to complete the term, or the order of rotation may change.
 3. English Education
 - The English Education Committee consists of all regular members of the English Education faculty and other faculty who teach courses required in English Education, as needed.
 - The Director of English Education will be selected according to a rotation among tenured members of the English Education faculty, and serves a two-year term at the discretion of the Department Chair. Sabbaticals, leaves, and other commitments may affect the order of rotation or length of term, at which time an acting chair may be approved by the Department Chair to complete the term, or the order of rotation may change.

4. Language

- The Language Committee consists of all regular members of the faculty who teach linguistics and/or English as a Second Language courses and those non-tenure track faculty deemed essential to the functions of the Program.
- The Chair of the Language Committee will be selected according to a rotation among tenured members of the Language faculty and serves a two-year term at the discretion of the Department Chair. Sabbaticals, leaves, and other commitments may affect the order of rotation or length of term, at which time an acting chair may be approved by the Department Chair to complete the term, or the order of rotation may change.

5. Literature

- The Literature Committee consists of all regular members of the Literature faculty and one member of the non-tenure track faculty who regularly teaches literature courses. This member will be elected by the non-tenure track faculty.
- The Literature Committee Chair, who also serves as Chair of the Literature Program, will be appointed by the Department Chair, in consultation with the Literature Committee, for a two-year term. Sabbaticals, leaves, and other commitments may affect the length of term, at which time an acting chair may be appointed by the Department Chair to complete the term.

IV. Scheduling Principles

A. Department scheduling obligations

- To provide a full range of courses in support of the Department's common core, program curricula, and AUCC.
- To spread classes evenly across the day and week.
- To minimize competition among courses.
- To distribute advanced courses among faculty as evenly as possible.

B. Principles and practices

- An environment conducive to high quality instruction and learning must be fostered for teachers and students.
- Teaching workload reductions from the current norm are intended to support research and creative activity or in recognition of Departmental administrative assignments.
- Teaching schedules are organized by the Assistant Chair responsible for staffing and scheduling in consultation with the graduate and undergraduate coordinators and in light of the requirements of the AUCC and University composition program.

- Faculty will have an opportunity to express their teaching preferences for both regular and special topics courses.
- Faculty will have an opportunity to express their scheduling preferences vis-à-vis morning/afternoon/evening, contiguity, days, and class sessions per week for assigned courses.

V. Miscellaneous Procedures

- A. With regard to the resolution of grievances between Department Chair and individual faculty members, parties should consult the *Academic Faculty and Administrative Professional Manual*, section K.
- B. This Code shall be reviewed at least once every five years, ordinarily in the fourth year of a Chair's term of office.
- C. Department Program Review will be initiated and coordinated by the Chair according to the schedule set by the University. The Chair will be assisted in this task by the Executive Committee and by other standing committees and Departmental officers as needed. Reviews must include a survey of all faculty members.
- D. Every faculty member who has completed at least one year of service at the University and holds one of the following appointments is eligible to vote in department meetings; tenure track full-time, tenure track part-time, tenure track transitional, or senior teaching. Faculty with senior teaching appointments shall have a vote on all matters, except with regard to personnel matters involving tenure-track faculty, including the Department Chair.
- E. All non-tenure track faculty who have completed at least one year of service in the English department are encouraged to stand for election and/or appointment to department committees, and may vote on those committees, except with regard to personnel matters involving tenure-track faculty, including the Department Chair.
- F. A quorum is defined as a simple majority of the faculty who are eligible to vote. Eligibility is based on years of service in the department, faculty appointment, and the matters to be voted on. For all matters pertaining to personnel, a quorum is determined by the number of eligible faculty on regular appointment. For all other matters, a quorum is determined by the number of eligible faculty on regular and special teaching appointments. For all matters, faculty on transitional retirement and faculty on sabbatical or other approved leave at the time of the voting shall not be included in the total number of faculty on which the quorum is calculated.

VI. Amendments to the Code

- A. Any regular tenure-track faculty member or senior teaching appointee of the Department with at least one year of service at the University may offer an amendment to this code at one of the Department's faculty meetings.
- B. The proposed amendment shall be presented to the Chair in writing at least two calendar weeks before the Department meeting at which its adoption will be moved. The Chair will circulate the amendment and that portion of the code to be amended to all faculty members eligible to vote on the amendment at least one calendar week prior to the meeting.
- C. Voting shall be by written ballot; a two-thirds majority of those voting, a quorum being present, is required to carry the motion.
- D. Amendments thus passed by the Department will be referred to the Dean's and Provost's Offices for approval before becoming an operational part of this code.

VII. Annual Evaluation of Tenure-Track and Tenured Faculty in the Department of English

A. Introduction

All regular faculty will be evaluated each calendar year in the areas of teaching/advising/mentoring, scholarship/creative activity, and service. Decisions regarding tenure, promotion, and merit salary increases will be consistent with, and based upon, the effort distribution established for each member of the faculty.

Although it remains the duty of the Chair, as the Code provides, to "evaluate the professional work of members of the Department," two committees will advise the Chair on the evaluation of regular faculty: the Tenure and Promotion Committee and the Periodic Comprehensive Performance Review Committee. The composition and duties of these committees are described above in section II F. The system followed by both committees must include formal evaluations of teaching/advising/mentoring, scholarship or creative activity, and service. The labels for performance are "Superior," "Exceeds Expectations," "Meets Expectations," "Below Expectations," and "Unsatisfactory." See VII C for Definitions of Evaluation Ratings.

The Chair will annually review the work of both committees to ensure that the standards articulated in these guidelines are applied uniformly to tenure-track and tenured faculty. The evaluative procedures and criteria and definitions of labels for performance are given below.

The Chair, members of the Tenure and Promotion Committee, and senior members of each program in the Department are responsible for encouraging tenure-track faculty members in identifying and pursuing a trajectory of inquiry, research, and creative activity leading to publication as defined in VII H 3; linking scholarship or creative activity to innovative teaching practices; and engaging with academic, intellectual, and/or creative communities both within and outside the Department.

Tenure-track faculty are expected to maintain a performance file (see VII G. Annual Evaluation of Untenured Faculty for the contents of the file). Tenured faculty up for periodic comprehensive performance review are expected to assemble a performance file (see VII E. Periodic Comprehensive Performance Review of Faculty for the contents of the file).

In the process of evaluation of tenure-track faculty members conducted by the Chair and the evaluation committees, the Department notes the difference between annual evaluation and progress toward tenure. In a given year, for example, a tenure-track faculty member may receive an “Exceeds Expectations” rating for outstanding publications, yet not be on track overall for the achievement of tenure and promotion. Annual evaluation for the last calendar year is a snapshot of one year’s activities, while the annual review for tenure and promotion is a cumulative review of an entire professional career in rank up to the point of the review.

Tenure-track faculty are reviewed for progress toward tenure annually and independently by both the Chair and the tenured faculty. Both reviews yield independent memos summarizing the progress toward tenure that are given to the faculty member and the Dean or Chair, respectively.

B. Evaluative Criteria and Procedures

Teaching/Advising/Mentoring

Criteria for measuring effectiveness and continued growth in teaching, advising, and mentoring shall be understood to include:

- Command of subject matter
- Creation of an atmosphere that encourages and facilitates learning, lucid reasoning, creativity, and independent thinking
- Skill in presenting material and demonstrating its significance and importance, and skill in presenting interrelationships among fields of knowledge
- Continual efforts to improve the aims and content of courses and academic programs
- Openness to a variety of views and respect for student expression

- Fairness, clarity, reasonableness, timeliness, and discernment in assigning and evaluating student work
- Commitment to teaching and advising responsibilities, including regular, prompt meeting of classes, keeping office hours, providing accurate advice and information, demonstrating excellent knowledge of University services and career services and effectively referring students to appropriate services;
- Willingness to assist students in their academic and professional development, including writing letters of recommendation, and accommodating special circumstances
- Continual assessment and development of effectiveness as a teacher, adviser, and mentor

The Department Chair, with advice from the Tenure and Promotion Steering Committee and Post-Tenure Review Committee where required, will assess the teaching/advising/mentoring work of faculty members by consulting multiple sources of information, including course syllabi; signed peer evaluations; examples of course improvements; development of new courses and teaching techniques; integration of service learning; appropriate course surveys of teaching; faculty self-reports of mentoring activities and evidence of effectiveness; letters, electronic mail messages, and/or other forms of written comments from current and/or former students or mentees; and assessments from conference/workshop attendees. Anonymous letters or comments other than those which appear on course survey forms shall not be used to evaluate teaching, except with the consent of the instructor.

In a faculty member's first year of service, his/her teaching evaluation is the responsibility of the Chair. Recommendations for reappointment from first to second year are normally due in the Dean's Office in January, so this evaluation will necessarily be based on only one semester of activity. The Chair will consult with the faculty member and schedule classroom visits to the courses being taught in the first semester of appointment, to be conducted either by the Chair or the Chair's appointee. A letter of evaluation to the faculty member, with a copy to be placed in his/her file, will ordinarily be composed within five days of the visit.

On the basis of the evidence so gathered, the committees (or the Chair or appointees) will judge faculty members' teaching to be "Superior," "Exceeds Expectations," "Meets Expectations," "Below Expectations," or "Unsatisfactory."

Scholarship/Creative Activity

Criteria for measuring effectiveness and promise of continued growth in scholarship or creative activity shall be understood to include the consistency, quality, and substances of the scholarship or creative activity. Measures include:

- Publications in the form of scholarly books, monographs, textbooks, edited collections, technical reports, publications in refereed journals or refereed edited collections, collections of poems, stories, or essays, together with judgments of the publications by specialists in the field
- Juried or invited exhibitions, presentations, or performances
- Peers' judgments of the quality of the venues of such publication, exhibitions, presentations, or performances
- Evidence of continued study and development
- Peers' judgments of the faculty member's professional activities demonstrably related to the discipline and directed toward one's peers
- Estimates by outside experts as to the quality of the work and of the venue(s) in which it appears
- The application for and/or award of funding to support scholarly or creative activities

Faculty at work on long-term projects should submit finished portions of work in progress. Works in press will ordinarily be evaluated as publications. For consideration for promotion from assistant to associate professor and for annual evaluations a work "in press" will ordinarily be evaluated as published. A work is considered to be "in press" when it has been accepted for publication without further revision or review. Faculty submitting works in press will attach official notices of acceptance and will not resubmit the works in subsequent evaluation periods when these are published. For promotion from associate to full professor actual publication is required. The committees will read the material furnished and, if necessary, ask outside experts to read it as well. The committees will then judge the scholarship or creative activity to be "Superior," "Exceeds Expectations," "Meets Expectations," "Below Expectations," or "Unsatisfactory."

Service

Criteria for measuring effectiveness in institutional and professional service shall be understood to include:

- Willingness to serve
- Responsible fulfillment of assignments
- Efforts to act in the best interests of the Department, College, and University
- Contributions to the profession and the discipline
- Quality, significance, and impact of professionally-oriented community service and outreach related to one's teaching, scholarship, or creative activity

The Department understands its polity as one of consensus and participation. Faculty members express their views and conduct much of the business of the Department through the committee structure. This shared governance can only succeed when faculty members are willing to accept appointments, stand for

elections, offer consistent and reliable service, and accept leadership roles in Department committees and working groups.

These activities will likewise receive ratings of “Superior,” “Exceeds Expectations,” “Meets Expectations,” “Below Expectations,” or “Unsatisfactory.”

C. Definitions of Ratings for Annual Evaluations and Periodic Comprehensive Performance Reviews

The committees will apply the labels “Superior,” “Exceeds Expectations,” “Meets Expectations,” “Below Expectations,” or “Unsatisfactory,” in the following fashion:

“Superior” denotes:

- A consistent record of outstanding teaching, including but not limited to innovative teaching methods, constant revision of syllabi, and proposal of new courses, together with a record of exemplary advising and mentoring
- Significant and ongoing scholarly or creative work, including scholarly monographs, textbooks, edited collections, technical reports, publications in refereed journals or refereed edited collections, collections of poems, stories or essays; a significant number of published uncollected works; conference papers, presentations, readings, or other activities
- Leadership in service to the Department, demonstrated by making significant contributions to the work of the Department. In addition, leadership on College or University-wide committees, service as officers on national committees, or other service that advances the reputation of the Department, College, or University in the local, regional, national, or international communities.

“Exceeds Expectations” denotes:

- A high level of teaching and advising/mentoring activities
- A consistent and coherent level of scholarly or creative activity including published work in refereed journals or refereed edited collections, conference papers, presentations, readings, or other activities
- A steady record of service to the Department. Ordinarily in addition, a steady record of service to the College, University, or community that reflects the faculty member’s professional expertise, or service to the profession in some other significant capacity

“Meets Expectations” denotes:

- The adequate fulfillment of teaching assignments and advising/mentoring functions
- The adequate maintenance of a scholarly or creative profile through occasional publications, as well as conference papers, presentations, or readings

- Adequate service to the Department and adequate service on institutional or professional committees, boards, or other such activities

“Below Expectations” denotes:

- Difficulties in fulfilling teaching assignments that may be corrected through mentoring and consultation, and inattention to advising duties such as inaccurate or incorrect advice, missed advising sessions, or inaccurately or inadequately completed graduation contracts
- Some promise of scholarly or creative work, but difficulty in identifying sets of issues, techniques, or questions that constitute a scholarly or creative profile or trajectory, and scholarly or creative work that focuses solely on conference presentations or readings
- Difficulties in fulfilling service obligations, demonstrated by chronic absence from committee meetings, inattention to necessary work, lack of commitment to the obligations of service

“Unsatisfactory” denotes:

- Insufficient or problematic classroom preparation/presentation and advising/mentoring functions
- Slight evidence of continuous scholarly or creative activity
- Unwillingness to serve on institutional or professional committees, boards, or panels, or to participate in other such activities

E. Periodic Comprehensive Performance Review of Tenured Faculty

Performance File: In the year of his/her periodic comprehensive performance review, the faculty member will create a performance file consisting of:

- Faculty Annual Activity Reports and Annual Faculty Evaluation—Summary Reports (Provost’s form or “landscape” form) from the last five years or period since the last periodic comprehensive performance review;
- Updated *curriculum vitae*, with last five years of publications or work in press clearly indicated;
- All student surveys and peer evaluations from last five years;
- Narrative of previous five years and goals for next evaluation period.

Reevaluation: Faculty members may request a periodic comprehensive performance review even when not scheduled for one.

F. Annual Evaluation of Tenured Faculty

Each year, each tenured faculty member who is not undergoing periodic comprehensive performance review will submit a Confidential Faculty Annual Activity Report and an updated *curriculum vitae*. Faculty may also provide a brief narrative of their work during the previous year.

Evaluation of Teaching/Advising/Mentoring: Assessments assigned in the most recent prior periodic comprehensive performance review or tenure-and-promotion evaluation will be used as baseline evaluations. Each annual evaluation until the next periodic comprehensive performance review will assess the current year's work in reference to that baseline, changing the evaluation as necessary to reflect such elements as student teaching evaluations, whether the faculty member taught what was for him/her a new course or a substantial revision of an old one, and advising and mentoring. Faculty may ask for peer reviews of their teaching.

Evaluation of Scholarly/Creative Activity: Tenured faculty undergoing annual review will provide a list of scholarly or creative activities taking place over the past five years. The list shall include:

- Publications and works in press, in print or digital form, including scholarly monographs, textbooks, edited collections, technical reports, publications in refereed journals or refereed edited collections, collections of poems, stories, or essays
- Events such as juried or invited exhibitions
- Activities such as presentations or performances
- Professional roles at conferences such as panelist or discussant
- Professional activities such as publishers' reviewer
- Awards received and nominations for awards
- Applications for outside funding (successful or not)
- Reports of miscellaneous items such as citations, acknowledgments, etc.
- Evidence of continuing study and development

The Chair will consider the list of scholarly or creative activities provided annually by each faculty member as a moving five-year window of accomplishment, and will judge the scholarship or creative activity of each tenured faculty member on the basis of the Provost's rating scale and the Department's definitions of that scale. This moving window is considered a means of evaluation separate from the five-year retrospective evaluation conducted by the Periodic Comprehensive Performance Review Committee.

The Chair will take into account such elements as whether a publication is refereed; the prestige of the publisher/journal; the extent of the faculty member's participation, single or shared, in the preparation of the publication, whether as author, editor, or translator; the extent to which the publication is addressed to experts in the field and appears to make an original contribution to this field; the number and nature of reviews, citations, and other forms of recognition the publication receives.

Evaluation of Service: Assessments assigned in the most recent prior periodic comprehensive performance review or tenure and promotion evaluation will be used as baseline evaluations. Each annual evaluation until the next periodic

comprehensive performance review will assess the year's work in reference to that baseline, changing the evaluation as necessary to reflect the faculty member's service activities during the year under review.

G. Annual Evaluation of Untenured Faculty

Probationary faculty should be thoroughly familiar with the following:

1. "Academic Faculty Tenure Policy" in the *Academic Faculty and Administrative Professional Manual*
2. Relevant sections of the Department code on tenure, promotion, and the functions of the Tenure and Promotion Committee
3. The University's Tenure and Promotion Application form.

In addition, probationary faculty members should keep their performance files up to date. Performance files should include:

- All Confidential Faculty Annual Activities Reports since arriving at the University
- An up-to-date *curriculum vitae*
- Teaching materials, including syllabi, course handouts, and other data from classes taught since arriving at the University
- Student and peer evaluations of teaching, advising, and/or mentoring since arriving at the University
- Copies of all scholarly or creative activities, including publications, grant proposals, conference papers, and work in progress completed since arriving at the University
- A full record of service, including the candidate's own statements of specific contributions and any other documents attesting to quality and extent of service since arriving at the University
- A narrative summary of the candidate's activities since arriving at the University and a work plan for the coming year

Candidates in their first year of service should have this file in readiness by the end of the first semester; candidates in their second year, in the first week of October; and candidates in their third, fourth, and fifth years of service, in the third week in January.

H. Standards Regarding Tenure and Promotion to Associate Professor

All faculty members being recommended for tenure and/or promotion must demonstrate a level of achievement appropriate to the rank under consideration. Recommendation for tenure shall require clear evidence of capability for significant professional contributions, effectiveness and promise of continued

growth in teaching and scholarship or creative activity, and of effectiveness in institutional, professional, and public service.

The Department, through its Chair and evaluation committees, acknowledges the distinctive profile of scholarship and creative activity of scholar-educators (English Education faculty members with special responsibility for teaching and mentoring secondary licensure students and whose scholarship is directed toward the multiple audiences of university-level and K-12 educators). That acknowledgement may be expressed by asking faculty committee members and external evaluators to give more than usual credit and weight to the service contribution of scholar-educators and to the distinctive venues through which they disseminate their scholarship.

To be recommended for tenure, assistant professors ordinarily must meet the requirements for promotion to associate professor. These are:

1. Completion of the terminal degree and regular faculty appointment
2. A record of “Superior” or “Exceeds Expectations” teaching that includes the development and revision of course curricula, the effective use of proven and/or innovative teaching methods, and a consistent record of reliable advising and mentoring
3. A publication record (normally achieved in rank) of some combination of refereed or juried publications, including articles, essays, stories, poems, novels, scholarly monographs, and edited collections, equivalent to five or six refereed articles. A textbook may also be considered as part of this combination when it makes an original and distinctive theoretical/intellectual contribution anchored in the candidate’s scholarly or creative record, as demonstrated in prior refereed publications, and when it is judged by external reviewers as making a significant scholarly contribution to the field. In all cases, the emphasis in assessing the merit of the candidate’s scholarly or creative work will be placed on the quality and contribution of the work rather than only on the quantity of publications offered for review
4. A record of “Meets Expectations” service, through activities such as service on committees or boards, on the Department, College, or University level, or a record of service to the community that reflects the faculty member’s professional expertise

I. Standards Regarding Promotion from Associate Professor to Professor

Recommendation for promotion to professor requires demonstration that the faculty member has achieved recognition among leaders in the profession. This achievement is normally demonstrated by maturation in scholarship or creative activity as well as continued professional development. Promotion to professor also requires a strong record of teaching/advising/mentoring and service.

The requirements for promotion to professor are:

1. A record of “Superior” or “Exceeds Expectations” teaching in the period since the promotion to associate professor (including the year of application for promotion) that includes the development and revision of course curricula, the effective use of proven and/or innovative teaching methods, and a consistent record of reliable advising and mentoring
2. A consistent record of “Exceeds Expectations” service in the period since the promotion to associate professor (including the year of application for promotion), through activities such as work on committees or boards, on the Department, College, or University level, or a record of service to the community that reflects the faculty member’s professional expertise, or service to the profession in some other significant capacity
3. A record of published work in the period since the promotion to associate professor (including the year of application for promotion) of some combination of refereed or juried publications, including articles, essays, stories, poems, novels, scholarly monographs, and edited collections, equivalent to five or six refereed articles. A textbook may also be considered as part of this combination when it makes an original and distinctive theoretical/intellectual contribution anchored in the candidate’s scholarly or creative record, as demonstrated in prior refereed publications, and when it is judged by external reviewers as making a significant scholarly contribution to the field. In all cases, the emphasis in assessing the merit of the candidate’s scholarly or creative work will be placed on the quality and contribution of the work rather than only on the quantity of publications offered for review.

VIII. Transitional-retirement faculty

Full-time tenured faculty may request transitional appointment as they move toward full retirement. Transitional appointments are governed by the *Academic Faculty and Administrative Professional Manual* E.2.6 and by the procedures and forms provided in the *College of Liberal Arts Annual Procedures Manual*. Transitional appointments are for a specified term of at least one year and no more than four years, and conclude with full retirement.

1. Transitional faculty will participate in the teaching/advising/mentoring, service, and research activities and expectations of the Department.
2. Transitional faculty will teach three courses in the semester of their service.
3. Transitional faculty are exempt from the periodic comprehensive performance review procedure.

IX. Faculty Search Procedures

Hiring new tenure-track faculty members in the Department of English will follow the procedures and schedule mandated by the Dean of the College of Liberal Arts and certified by the University's Office of Equal Opportunity. The Department Chair, who in conjunction with the Executive Committee has authority for organizing and supervising the hiring procedure, will review with the Executive Committee the most recent procedures at the start of each hiring season, normally toward the end of the spring semester. When adopted by the Executive Committee, these procedures will be in effect for that hiring season and will be on file in the English department office.

Application materials, including letters of recommendation, from semifinalist candidates for tenure-track and tenured positions, will be made available to all tenure-track and tenured members of the department faculty.

The department chair and search committee chair will encourage the attendance at finalist presentations of all Department members, including regular and non-tenure track faculty, staff, and students, and will ensure that feedback is actively encouraged and consulted by the Executive/Search Committee as it shapes its recommendations to the department chair.

X. Faculty Mentoring Program

The Department has established a formal mentoring plan for tenure-track faculty and an optional plan for non-tenure track faculty. The details of these plans may be found in the Department Handbook.

XI. Student Grade Appeals

The Department has established a procedure for receiving and adjudicating student grade appeals in conformity with the *Academic Faculty and Administrative Professional Manual* I.7.1. The Appeals Committee shall be formed according to the *Manual*, observing the timeline specified there. The Department Chair will invite five members to comprise the Appeals Committee: two faculty members and two students from within the department and one faculty member from outside the department who will serve as the committee chair. All five members of the committee shall be voting members.

Appendix A

Tenure and Promotion Procedures

PROCEDURES	
Step 1	<ul style="list-style-type: none"> • Faculty receive an e-mail announcing that a candidate is going up for tenure and/or promotion. The e-mail would include the following information: <ul style="list-style-type: none"> ○ description of the review process the Tenure and Promotion Steering Committee has already followed in vetting the file ○ instructions for faculty input as described below ○ date of the formal department meeting where the Tenure and Promotion Steering Committee shall present the candidate's case (described in Step 3) ○ reminder of the expectation for casting an informed vote, to be achieved by attending the department meeting and/or reviewing the candidate's file. These files, including a summary of the external letters, would be available for perusal in the department office. ○ ballot with space for comments and the deadline for submission. <i>Note that comments are required for a negative vote.</i>
Step 2	<ul style="list-style-type: none"> • The Tenure and Promotion Steering Committee shares their narrative and vote with the candidate prior to the meeting, and the candidate has an opportunity to respond in writing.
Step 3	<ul style="list-style-type: none"> • A department meeting shall be convened during which the Tenure and Promotion Steering Committee members make a formal presentation to: 1) briefly contextualize the case with information that might help faculty who are not in the candidate's field to understand the field more fully; 2) review the key points of the committee narrative, provide the committee vote and the gist of the candidate's response (if any); and 3) address faculty questions. • Tenured faculty shall attend meetings reviewing cases of untenured candidates. Full Professors shall attend meetings reviewing promotion cases for Associate Professors. Candidates themselves shall not be present at these meetings.
Step 4	<ul style="list-style-type: none"> • By a designated deadline, faculty members complete ballots, including any comments, and deposit them in a secure location in the department office. • Ballots shall be signed and submitted in a sealed, signed envelope with an indication of the extent to which the faculty member has reviewed the candidate's files. Objections to the recommendation of the Tenure and Promotion Steering Committee shall require review of the file. The PowerPoint presentation made by the Tenure and Promotion Steering Committee may be made available, if necessary (e.g., if the faculty member was unable to attend the meeting). • Faculty shall initial a sheet indicating that they have submitted their votes. In order to ensure that everyone votes, an office staff member will remind any faculty member who has not initialed the signature sheet, indicating that s/he must vote by the designated deadline.
Step 5	<ul style="list-style-type: none"> • Results of the faculty vote and a summary of comments from the ballot (without attribution) shall be provided to the candidate and the Tenure and Promotion Steering Committee by the Chair of the P&T Steering Committee. • The candidate shall have the option to respond in writing. This written statement shall go to the Chair of the T&P and will go forward with the packet. • After reviewing the result of the faculty vote and the summary of comments, the Tenure and Promotion Steering Committee may amend their narrative if the content of faculty comments reflects information that was factually inaccurate (e.g., the number of publications, etc.). The committee shall also report the minority vote if there is one and summarize any objections from faculty as a part of their report and recommendation.

Step 6	<ul style="list-style-type: none"><li data-bbox="407 197 1365 319">• The Department Chair shall forward her/his final decision to the Dean based on this body of information—the Tenure & Promotion Steering Committee’s narrative (with indicated amendments if necessary as described in Step 5), the vote and comments of all tenured faculty, and the candidate’s response (if provided).
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